

Unofficial English Translation

Re Giroux-Garneau

IN THE MATTER OF:

**The Rules of the Investment Industry Regulatory Organization of
Canada**

and

The By-Laws of the Investment Dealers Association of Canada

and

Denyse Giroux-Garneau

2016 IIROC 08

Hearing Panel
of the Investment Industry Regulatory Organization of Canada
(Québec District)

Hearing held on: February 4, 2016
Decision rendered on: February 8, 2016

Hearing Panel

Me Jean Martel Ad. E., Chair, Jacques Lemay and Marcel Paquette

Appearances

For IIROC : Me Pascale Dionne-Bourassa

For the Respondent : Me Jacques Patry

DECISION ON MOTIONS

¶ 1 On February 4, 2016, the Hearing Panel met by conference call to consider two motions filed by consent electronically by counsel for the Respondent Denyse Giroux-Garneau (the « Respondent ») and by counsel for the Investment Industry Regulatory Organization of Canada ("IIROC"), namely:

- a) a motion for leave to introduce into evidence a sworn statement by the Respondent, which was communicated in advance to counsel for IIROC and transmitted to the members of the Hearing Panel on this date;
- b) a motion for leave to plead in writing.

AFTER CONSIDERATION OF THE MOTIONS AND DELIBERATION, THE HEARING PANEL:

ALLOWS the introduction into evidence in the matter before it, a "*Narrative History*" sworn by the Respondent, under exhibit I-1;

ALLOWS the presentation of closing addresses by the counsels for the parties in the form of written arguments, said arguments to be filed in the record of the hearing, no later than February 19, 2016 in the case of counsel for the Respondent, and no later than March 8, 2016 in the case of counsel for IIROC;

THE WHOLE, COSTS TO FOLLOW

Signed at Montréal, Québec this February 8, 2016.

Jean Martel

Chair

Jacques Lemay

Panel Member

Marcel Paquette

Panel Member

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