

Re Benthem & Petriccione

IN THE MATTER OF:

THE BY-LAWS OF THE INVESTMENT DEALERS ASSOCIATION OF CANADA

AND

PAUL VAN BENTHEM AND ANTHONY PETRICCIONE

2008 IIROC 5

Investment Dealers Association of Canada
Hearing Panel (Ontario District)

Heard: June 4, 2008 in Toronto, ON
Decision: June 4, 2008
(2 paras.)

Hearing Panel:

Mr. Frederick Webber (chair), Mr. Norm Fraser and Mr. Duncan Webb

Appearances:

Mr. Andrew Werbowski and Ms Natalija Popovic, Enforcement Counsel
Mr. Michael Magonet and Mr. Daniel Bernstein, Respondents' Counsel

DECISION

Date for Hearing

1. The sole purpose of this session was to set a date for the hearing of this matter. After a discussion among all counsel and the Panel regarding the likely amount of evidence and the number of witnesses, as well as the schedules and availability of Counsel and the Panel members, it was decided that the full weeks of April 13, 2009 and April 20, 2009 would be scheduled for the hearing.
2. It was also agreed among counsel that IDA disclosure would be completed by June 27, 2008, the Responses to the Notice of Hearing would be served and filed by September 19, 2008, the IDA would complete its document disclosure obligations under Rule 10 by January 23, 2009 and its witness list obligations under Rule 11 by February 6, 2009.

Dated as of the 4th day of June, 2008

Fred Webber- Chairman
Norm Fraser-Member
Duncan Webb- Member

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