

INVESTMENT INDUSTRY REGULATORY ORGANIZATION OF CANADA

IN THE MATTER OF:

AN EXPEDITED HEARING UNDER *DEALER MEMBER RULE 20.42* OF THE INVESTMENT INDUSTRY REGULATORY ORGANIZATION OF CANADA

AND

e3m INVESTMENTS INC.

ORDER

THIS APPLICATION by the Staff of the Investment Industry Regulatory Organization of Canada (IIROC Staff) for an Order terminating the membership of e3m Investments Inc. (“e3m”), was heard this day at Toronto, Ontario, pursuant to Dealer Member Rules 20.41, 20.42, 20.45, and Rule 16 of the Rules of Practice and Procedure;

ON READING the materials filed in support, and on noting the consent of the Trustee in Bankruptcy;

THIS HEARING PANEL ORDERS:

1. Pursuant to Dealer Member Rule 20.45(1)(g), the membership of e3m is hereby terminated;
2. This Order will come into effect immediately.

DATED at Toronto, Ontario, this 16th day of November, 2016.

Original Executed by:

“Paul Moore, Q.C.”
Paul Moore, Chair

“Mary Savona”
Panel Member

“F. Michael Walsh”
Panel Member

Consented to:

This 22 day of September, 2016.

”Alan Page”
Schwartz Levitsky Feldman Inc.

in its capacity as Trustee in Bankruptcy for e3m Investments Inc.