



Mutual Fund Dealers Association of Canada
Association canadienne des courtiers de fonds mutuels

**IN THE MATTER OF A DISCIPLINARY HEARING
PURSUANT TO SECTIONS 20 AND 24 OF BY-LAW NO. 1 OF
THE MUTUAL FUND DEALERS ASSOCIATION OF CANADA**

Re: Everest Nicholas Louis D'Souza

ORDER

(ARISING FROM THE FIRST APPEARANCE ON FEBRUARY 3, 2022)

WHEREAS on December 16, 2021, the Mutual Fund Dealers Association of Canada (the “MFDA”) issued a Notice of Hearing pursuant to sections 20 and 24 of By-law No. 1 (the “Notice of Hearing”) in respect of a disciplinary proceeding commenced against Everest Nicholas Louis D’Souza (the “Respondent”) which shall take place before a hearing panel of the Central Regional Council (the “Hearing Panel”);

AND WHEREAS an affidavit of service sworn by Sofi Vasiliadis on December 22, 2021, and filed by Staff, states that on December 17, 2021, the Notice of Hearing was served on the Respondent by emailing a copy to the Respondent, which the Respondent accepted on December 20, 2021;

AND WHEREAS in accordance with s. 19.13 of MFDA By-Law No. 1, the first appearance in this hearing was held by teleconference before a public representative of the Central Regional Council of the MFDA on February 3, 2022;

AND WHEREAS counsel for Staff and counsel for the Respondent attended the first appearance by teleconference on February 3, 2022, to address scheduling and other procedural matters;

IT IS HEREBY ORDERED THAT:

1. In accordance with Rule 2.2(1)(a) of the MFDA *Rules of Procedure*, the Respondent's time to deliver a reply shall be extended to March 4, 2022.
2. In accordance with Rule 10.1 of the MFDA *Rules of Procedure*, Staff shall provide documentary disclosure to the Respondent on or before April 1, 2022.
3. In accordance with Rule 10.2 of the MFDA *Rules of Procedure*, the Respondent shall provide documentary disclosure to Staff on or before April 15, 2022.
4. In accordance with Rule 11 of the MFDA *Rules of Procedure*, the parties shall exchange lists of anticipated witnesses, and in respect of each witness named on the list, provide a witness statement signed by the witness, a transcript of a recorded statement by the witness, or a summary of the evidence that the witness is expected to give at the hearing, on or before May 20, 2022.
5. Subject to any further order of the Hearing Panel, April 26, 2022 is reserved for any pre-hearing motions either Staff or the Respondent may wish to have heard.
6. Subject to any further order of the Hearing Panel, the hearing of this matter on its merits shall take place electronically by videoconference on June 21, 2022, commencing at 10:00 a.m. (Eastern), or as soon thereafter as the matter can be heard.

DATED this 3rd day of February, 2022.

"Emily Cole"

Emily Cole
Chair

DM 870652