



Mutual Fund Dealers Association of Canada
Association canadienne des courtiers de fonds mutuels

**IN THE MATTER OF A DISCIPLINARY HEARING
PURSUANT TO SECTIONS 20 AND 24 OF BY-LAW NO. 1 OF
THE MUTUAL FUND DEALERS ASSOCIATION OF CANADA**

Re: Helen Collymore

ORDER

(ARISING FROM AN INTERIM APPEARANCE ON JULY 11, 2022)

WHEREAS on April 4, 2022, the Mutual Fund Dealers Association of Canada (the “MFDA”) issued a Notice of Hearing pursuant to sections 20 and 24 of MFDA By-law No. 1 in respect of a disciplinary proceeding commenced against Helen Collymore (the “Respondent”);

AND WHEREAS an interim appearance in this hearing was held before a Chair of a hearing panel of the Central Regional Council of the MFDA (the “Hearing Panel”) on July 11, 2022 in accordance with s. 19.13(b) of MFDA By-law No. 1;

AND WHEREAS Counsel for Staff and Counsel for the Respondent attended the interim appearance by videoconference on July 11, 2022 to address scheduling and other procedural matters and jointly proposed the following schedule for the continuation of the proceeding;

IT IS HEREBY ORDERED THAT:

1. In accordance with Rule 10.1 of the *MFDA Rules of Procedure*, Staff shall provide documentary disclosure to the Respondent on or before August 12, 2022;
2. In accordance with Rule 10.2 of the *MFDA Rules of Procedure*, the Respondent shall provide documentary disclosure to Staff on or before August 26, 2022;

3. In accordance with Rule 11.1 of the MFDA *Rules of Procedure*, both Staff and the Respondent shall provide each other with a witness list, and for each witness that the party intends to call at the hearing on the merits, a corresponding transcript, signed witness statement or a summary of the evidence that the witness is expected to give at the hearing by September 2, 2022; and

4. Subject to any further Order of the Hearing Panel, the Hearing on the Merits shall take place electronically by videoconference on September 29-30, 2022, commencing at 10:00 a.m. (Eastern) each day, or as soon thereafter as the matter can be heard.

DATED this 11th day of July, 2022.

“Robert P. Armstrong”

The Honorable Robert P. Armstrong, QC
Chair

DM 894665